

**Format of holding of specified securities**

1. Name of Listed Entity: **IMPEX FERRO TECH LTD.**  
 2. Scrip Symbol: **IMPEXFERRO**, Class of Security: **Equity**  
 3. Share Holding Pattern Filed under : **Reg. 31(1)(b)**  
 a. If under 31 (1)(b) then indicate the report for Quarter ending: **30th September, 2016**  
 b. If under 31(1)(c) then indicate date of allotment/extinguishment: **N.A.**

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sl. No.	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



IMPEX FERRO TECH LTD

Table I - Summary Statement holding of specified securities as on 30/09/2016

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	11	6,07,64,846	-	-	6,07,64,846	69.10	6,07,64,846	-	69.10	1,63,25,000	26.87	6,07,64,846	100.00	6,07,64,846	-		
(B)	Public	11,656	2,71,66,758	-	-	2,71,66,758	30.90	2,71,66,758	-	30.90	-	-	-	-	-	-		
(C)	Non Promoter - Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	100	18.57	6,07,64,846	100.00	8,71,75,502	-		
	Total	11,667	8,79,31,604	-	-	8,79,31,604	100.00	8,79,31,604	-	100.00	1,63,25,000	18.57	6,07,64,846	100.00	8,71,75,502	-		



IMPEX FERRO TECH LTD

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2016

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
								Class x	Class y	Total									
(1) Indian																			
Individuals/Hindu undivided Family		4	8,51,800	-	-	8,51,800	0.97	8,51,800	-	8,51,800	0.97	-	0.97	-	-	8,51,800	100.00	8,51,800	
Mr. Suresh Kumar Patni	AESPP2029L	1	4,66,200	-	-	4,66,200	0.53	4,66,200	-	4,66,200	0.53	-	0.53	-	-	4,66,200	100.00	4,66,200	
Mr. Ankit Patni	AJWPP2920M	1	1,75,600	-	-	1,75,600	0.20	1,75,600	-	1,75,600	0.20	-	0.20	-	-	1,75,600	100.00	1,75,600	
Mr. Rohit Patni	AIQPP6728E	1	1,75,000	-	-	1,75,000	0.20	1,75,000	-	1,75,000	0.20	-	0.20	-	-	1,75,000	100.00	1,75,000	
Mrs. Sarita Patni	AFYPP9461K	1	35,000	-	-	35,000	0.04	35,000	-	35,000	0.04	-	0.04	-	-	35,000	100.00	35,000	
(b) Central Government/State Government(s)																			
(c) Financial Institutions/Banks																			
Any Other (specify)		7	5,99,13,046	-	-	5,99,13,046	68.14	5,99,13,046	-	5,99,13,046	68.14	-	68.14	1,63,25,000	27.25	5,99,13,046	100.00	5,99,13,046	
Bodies Corporate																			
M/s. Suanvi Trading & Investment Co. Pvt. Ltd.	AADCS6731L	1	1,50,73,046	-	-	1,50,73,046	17.14	1,50,73,046	-	1,50,73,046	17.14	-	17.14	35,90,000	5.91	1,50,73,046	100.00	1,50,73,046	
M/s. Invesco Finance Pvt. Ltd.	AAACI5663E	1	1,08,71,250	-	-	1,08,71,250	12.36	1,08,71,250	-	1,08,71,250	12.36	-	12.36	-	-	1,08,71,250	100.00	1,08,71,250	
M/s. Poddar Mech Tech Services Pvt. Ltd.	AABCP7534L	1	1,06,33,750	-	-	1,06,33,750	12.09	1,06,33,750	-	1,06,33,750	12.09	-	12.09	-	-	1,06,33,750	100.00	1,06,33,750	
M/s. Vasupujya Enterprises Pvt. Ltd.	AAACV8958M	1	1,06,00,000	-	-	1,06,00,000	12.05	1,06,00,000	-	1,06,00,000	12.05	-	12.05	-	-	1,06,00,000	100.00	1,06,00,000	
M/s. Astabhujya Properties Pvt. Ltd.	AABCR4859A	1	63,35,000	-	-	63,35,000	7.20	63,35,000	-	63,35,000	7.20	-	7.20	63,35,000	10.43	63,35,000	-	63,35,000	
M/s. Shreyansh Leafin Pvt. Ltd.	AAECS3782Q	1	39,50,000	-	-	39,50,000	4.49	39,50,000	-	39,50,000	4.49	-	4.49	39,50,000	6.50	39,50,000	100.00	39,50,000	
M/s. Whitestone Suppliers Pvt. Ltd.	AAACW2202M	1	24,50,000	-	-	24,50,000	2.79	24,50,000	-	24,50,000	2.79	-	2.79	24,50,000	4.03	24,50,000	100.00	24,50,000	
Sub Total (A)(1)		11	6,07,64,846	-	-	6,07,64,846	69.10	6,07,64,846	-	6,07,64,846	69.10	-	69.10	1,63,25,000	26.87	6,07,64,846	100.00	6,07,64,846	
(2) Foreign																			
(a) Individuals (Non-Resident Individuals/Foreign Individuals)																			
(b) Government																			
(c) Institutions																			
(d) Foreign Portfolio Investor																			
(e) Any Other (specify)																			
Sub Total (A)(2)																			
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		11	6,07,64,846	-	-	6,07,64,846	69.10	6,07,64,846	-	6,07,64,846	69.10	-	69.10	1,63,25,000	26.87	6,07,64,846	100.00	6,07,64,846	



Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2016

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class x	Class y	Total								
(1) Institutions																		
(a) Mutual Funds / UTI																		
(b) Venture Capital Funds																		
(c) Alternate Investment Funds																		
(d) Foreign Venture Capital Investors																		
(e) Foreign Portfolio Investors																		
(f) Financial Institutions / Banks																		
(g) Insurance Companies																		
(h) Provident Funds / Pension Funds																		
(i) Any Other (specify)																		
Sub Total (B)(1)																		
(2) Central Government / State Government(s) / President of India																		
(3) Non-institutions																		
(a) Individuals-																		
i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		11,156	1,50,28,471			1,50,28,471	17.09	1,50,28,471		1,50,28,471	17.09		17.09					1,49,86,469
ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		177	82,88,582			82,88,582	9.43	82,88,582		82,88,582	9.43		9.43					80,90,482
(b) NBFCs registered with RBI																		
(c) Employee Trusts																		
(d) Overseas Depositories (holding DRs) (balancing figure)																		
(e) Any Other (specify)		207	23,18,371			23,18,371	2.64	23,18,371		23,18,371	2.64		2.64					18,02,371
Bodies Corporate		62	11,86,169			11,86,169	1.35	11,86,169		11,86,169	1.35		1.35					11,86,169
Clearing Member		53	2,67,076			2,67,076	0.30	2,67,076		2,67,076	0.30		0.30					2,67,076
Non Resident Individual		11,656	2,71,66,758			2,70,88,669	30.90	2,71,66,758		2,71,66,758	30.90		30.90					2,64,10,656
Sub Total (B)(3)																		
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		11,656	2,71,66,758			2,70,88,669	30.90	2,71,66,758		2,71,66,758	30.90		30.90					2,64,10,656



IMPEX FERRO TECH LTD

Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2016

Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
								Class x	Class y	Total								
(1) Custodian / DR Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

